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# Exam

## Algorithmen und Datenstrukturen

January 21, 2020

### DO NOT OPEN!

Last name, first name: \_\_\_\_\_

Student number: \_\_\_\_\_

With my signature I confirm that I can participate in the exam under regular conditions. I will act honestly during the exam, and I will not use any forbidden means.

Signature: \_\_\_\_\_

**Good luck!**

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|              | T1 (26P) | T2 (12P) | T3 (9P) | T4 (13P) | P1 (24P) | P2 (16P) | $\Sigma$ (100P) |
|--------------|----------|----------|---------|----------|----------|----------|-----------------|
| Score        |          |          |         |          |          |          |                 |
| Corrected by |          |          |         |          |          |          |                 |



**Theory Task T1.****/ 27 P**

In this problem, you have to provide **solutions only**. You do not need to justify your answer.

**/ 5 P**

a) Fill out the following quiz about asymptotic notation.

You get 1P for a correct answer, -1P for a wrong answer, 0P for a missing answer. You get at least 0 points in total.

|  | <b>claim</b>   | <b>true</b>                         | <b>false</b>                        |
|--|--|-------------------------------------|-------------------------------------|
|  | $5n^{2.5} + 2n^2 + n \leq \mathcal{O}(n^3)$                              | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |
|  | $\sqrt{n} \geq \Omega\left(\frac{n}{\log n}\right)$                      | <input type="checkbox"/>            | <input checked="" type="checkbox"/> |
|  | $\log_4 n^4 = \Theta(\log_6 n^6)$  | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |
|  | $\sum_{i=1}^n \log_2 i = \Theta(n \log n)$                               | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |
|  | $\sum_{i=1}^n \sqrt{i} \cdot \log_2^2 i \geq \Omega(n\sqrt{n} \log^2 n)$ | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |

**/ 4 P**

b) *Graph quiz*: Indicate whether the following claims are true in general, i.e., whether they are true for all graphs.

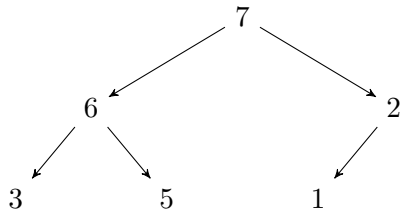
You get 1P for a correct answer, -1P for a wrong answer, 0P for a missing answer. You get at least 0 points in total.

| <b>Claim</b>  | <b>true</b>                         | <b>false</b>                        |
|---|-------------------------------------|-------------------------------------|
| A connected graph is called a tree.   | <input type="checkbox"/>            | <input checked="" type="checkbox"/> |
| If a tree contains at least two vertices, then any longest path in this tree has leaves as endpoints. | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |
| A bipartite graph can be coloured with two colours.   | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |
| Any directed acyclic graph has a vertex with in-degree 0.   | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |

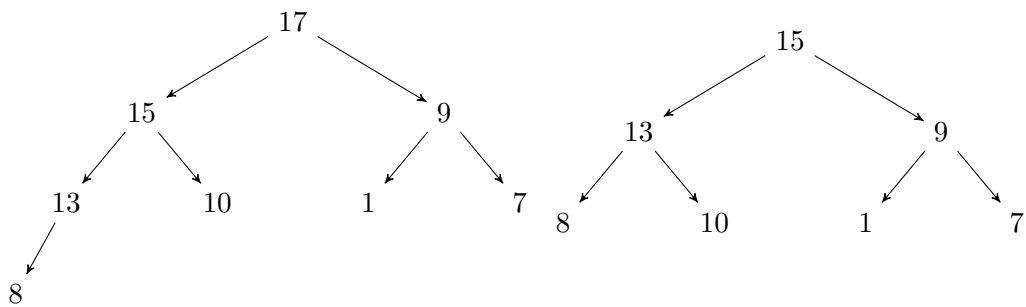
/ 2 P

c) *Max-Heaps:*

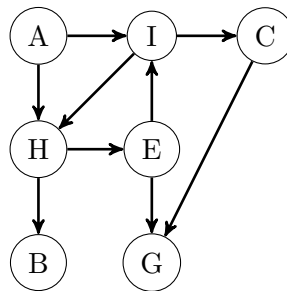
i) Draw a Max-Heap that contains the keys 3, 6, 1, 5, 7, 2.



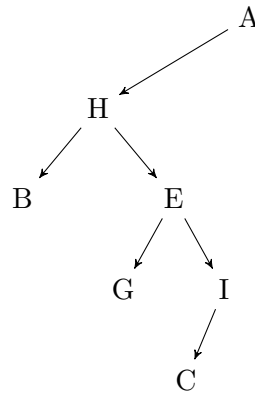
ii) Draw the Max-Heap obtained from the following Max-Heap by performing the operation DELETE-MAX once.



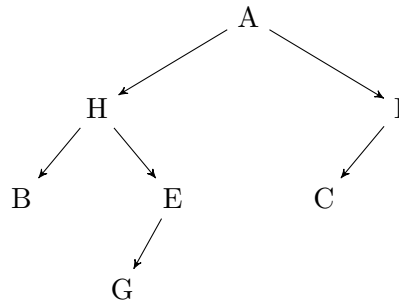
/ 2 P

d) *Depth-first search / breadth first search:* Consider the following directed graph:

i) Draw the depth-first tree resulting from a depth-first search starting from vertex A. When processing the neighbors of a vertex, process them in alphabetical order.



- ii) Draw the breadth-first tree resulting from a breadth-first search starting from vertex A. When processing the neighbors of a vertex, process them in alphabetical order.



/ 3 P

e) *Shortest paths*: Given is a weighted directed connected graph  $G = (V, E, w)$  (edge weights  $w$  might be negative), where  $V = \{1, \dots, n\}$ . The goal is to determine whether  $G$  contains a cycle of negative weight, and if not, you need to fill a table of size  $n \times n$  such that the entry  $(i, j)$  contains the length of the shortest path between  $i$  and  $j$  in  $G$  (for all  $i, j \in V$ ).

- i) Name an algorithm that was discussed in lecture which solves this problem. If several such algorithms were described in lectures, it is enough to name one of them.

**Solution:** Floyd-Warshall algorithm

- ii) State the running time of this algorithm in  $\mathcal{O}$ -notation in terms of  $|V|$  and  $|E|$  (as tight and simplified as possible).

**Solution:**  $\mathcal{O}(|V|^3)$

- iii) Briefly describe how this algorithm reads out whether  $G$  contains a cycle of negative weight.

**Solution:** After computing the tableau the distances  $d(v, v)$  are checked for all  $v \in V$ . If  $d(v, v)$  is negative there is a negative cycle from  $v$  to  $v$ .

/ 5 P

f) *Graph data structures:*

Consider the following two data structures for storing a graph  $G$  with  $n$  vertices and  $m$  edges:

- i) Adjacency matrix.
- ii) Adjacency list.

For each of the above data structures, what is the required memory (in  $\Theta$ -Notation)?

**Solution:** The adjacency matrix requires  $\Theta(n^2)$  and the adjacency list  $\Theta(m)$  memory.

Consider the following tasks:

Task 1: Given is a vertex  $v \in V$ , find  $\deg(v)$ .

Task 2: Given is a vertex  $v \in V$ , find a neighbour of  $v$  (if a neighbour exists).

Task 3: Given are two vertices  $u, v \in V$ , determine whether  $u$  and  $v$  are adjacent.

Task 4: Given are two vertices  $u, v \in V$  with  $u \neq v$ , insert an edge  $\{u, v\}$  into the graph if it does not exist yet. Otherwise do nothing.

Fill the following table with the running times that are required to solve these tasks (in  $\mathcal{O}$ -notation, as tight and simplified as possible).

|        | Adjacency matrix | Adjacency list                        |
|--------|------------------|---------------------------------------|
| Task 1 | $\mathcal{O}(n)$ | $\mathcal{O}(\deg(v))$                |
| Task 2 | $\mathcal{O}(n)$ | $\mathcal{O}(1)$                      |
| Task 3 | $\mathcal{O}(1)$ | $\mathcal{O}(\min(\deg(u), \deg(v)))$ |
| Task 4 | $\mathcal{O}(1)$ | $\mathcal{O}(\min(\deg(u), \deg(v)))$ |

|       |
|-------|
| / 5 P |
|-------|

g) *Computing Powers:*

Provide an algorithm that takes two positive integers  $a$  and  $n$  as input, and outputs  $a^n$ .

You can use addition, subtraction, multiplication and division of integers as basic operations without further explanation. You do not need to proof correctness or analyse the running time of your algorithm. However, for full points the number of basic operations that your algorithm uses needs to be  $\mathcal{O}(\log n)$ .

**Solution:**

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**Algorithm 1** Power( $a, n$ )

---

```
if  $n = 1$  then
  return  $a$ 
else
  if  $n$  is odd then
     $x \leftarrow$  Power( $a, (n - 1)/2$ )
    return  $x \cdot x \cdot a$ 
  else
     $x \leftarrow$  Power( $a, n/2$ )
    return  $x \cdot x$ 
```

---



**Theory Task T2.**

/ 12 P

In this part, you should justify your answers briefly e.g. by sketching the derivation.

/ 4 P

a) *Counting loop iterations:* For the following code snippet count how many times the function  $f$  is called. Report the number of calls in  $\mathcal{O}$ -notation (as tight and simplified as possible).

i) Snippet 1:

**Algorithm 2**


---

```

for  $j = 1, \dots, n$  do
  for  $k = j, \dots, n$  do
     $f()$ 

```

---

**Solution:**

$$\sum_{j=1}^n \sum_{k=j}^n 1 = \sum_{j=1}^n (n - j + 1) = \frac{n(n+1)}{2} = \Theta(n^2).$$

ii) Snippet 2:

**Algorithm 3**


---

```

for  $j = 1, \dots, n$  do
  for  $k = 1, 2, 3, 4, 5, \dots, 2^j$  do
    for  $l = 1, \dots, 42$  do
       $f()$ 

```

---

**Solution:**

$$\sum_{j=1}^n \sum_{k=1}^{2^j} \sum_{l=1}^{42} 1 = 42 \sum_{j=1}^n \sum_{k=1}^{2^j} 1 = 42 \sum_{j=1}^n 2^j = 42 \left( \frac{2^{n+1} - 1}{2 - 1} - 1 \right) = \Theta(2^n).$$

/ 2 P

b) *Recurrence relations:* Recall the master theorem from exercise sheet 4:

**Theorem 1 (Master theorem)** Let  $T : \mathbb{N} \rightarrow \mathbb{R}^+$  be a non-decreasing function such that for all  $k \in \mathbb{N}$  and  $n = 2^k$ ,

$$T(n) \leq aT(n/2) + \mathcal{O}(n^b)$$

for some constants  $a > 0$  and  $b \geq 0$ . Then

- If  $b > \log_2 a$ ,  $T(n) \leq \mathcal{O}(n^b)$ .
- If  $b = \log_2 a$ ,  $T(n) \leq \mathcal{O}(n^{\log_2 a} \cdot \log n)$ .
- If  $b < \log_2 a$ ,  $T(n) \leq \mathcal{O}(n^{\log_2 a})$ .

Consider the following recursive function that takes as an input a natural number  $m$  that is a power of two (that is,  $m = 2^k$  for some nonnegative integer  $k$ ).

---

**Algorithm 4**  $g(m)$ 


---

```

if  $m > 1$  then
   $g(m/2)$ 
   $g(m/2)$ 
   $g(m/2)$ 
   $g(m/2)$ 
  for  $i = 1, \dots, m^2$  do
     $f()$ 
else
   $f()$ 

```

---

Let  $T(m)$  be the number of calls of the function  $f$  in  $g(m)$ .

- i) Give a recursive formula for  $T(m)$ .

**Solution:**

$$T(m) = 4T(m/2) + m^2.$$

- ii) Write  $T(m)$  in  $\mathcal{O}$ -notation in terms of  $m$  (as tight and simplified as possible).

**Solution:** We have  $a = 4$  and  $b = 2 = \log_2(a)$ . Thus, by case 2 of the master theorem we have  $T(m) \leq \mathcal{O}(n^2 \log(n))$ .

/ 2 P

- c) *Minimum spanning trees:* Given is a weighted undirected connected graph  $G = (V, E, w)$  with positive and pairwise different edge weights. Suppose that there exists a vertex  $v \in V$  of degree 4 with incident edges  $e_1, e_2, e_3, e_4$  such that  $w(e_1) = 2, w(e_2) = 3, w(e_3) = 4, w(e_4) = 7$ . Further, suppose that the weight of the minimum spanning tree of  $G$  is 72.

What are the possible weights of a minimum spanning tree if we decrease the weight of the edge  $e_1$  from 2 to 1? The weights of all other edges remain unchanged. Justify your answer.

**Solution:** The vertex  $v$  has degree 4. Thus, there are no other edges than  $e_1, e_2, e_3, e_4$  incident to  $v$ . The weight  $w(e_1)$  is the smallest weight among  $w(e_1), w(e_2), w(e_3), w(e_4)$  before and after the change. For each vertex the incident edge with the smallest edge weight is part of the minimum spanning tree (see Boruvka's algorithm). Therefore, after the change the weight of the minimum spanning tree must be 71.

/ 4 P

- d) *Induction:* Prove by mathematical induction that for any positive integer  $n$ ,

$$\sum_{k=1}^n \frac{1}{k^2} \leq 2 - \frac{1}{n}.$$

- **Base Case.**

Let  $n = 1$ . Then  $\sum_{k=1}^1 \frac{1}{k^2} = 1 \leq 2 - 1$ .

- **Induction Hypothesis.**

Assume that the property holds for the positive integer  $n$ . That is,  $\sum_{k=1}^n \frac{1}{k^2} \leq 2 - \frac{1}{n}$ .

- **Inductive Step.**

We must show that the property holds for  $n + 1$ .

$$\begin{aligned} \sum_{k=1}^{n+1} \frac{1}{k^2} &= \frac{1}{(n+1)^2} + \sum_{k=1}^n \frac{1}{k^2} \\ &\leq \frac{1}{(n+1)^2} + 2 - \frac{1}{n} \\ &= 2 - \frac{n^2 + n + 1}{(n+1)^2 n} \\ &\leq 2 - \frac{n^2 + n}{(n+1)^2 n} \\ &= 2 - \frac{1}{n+1}. \end{aligned}$$

By the principle of mathematical induction, this is true for any integer  $n \geq 1$ .

**Theory Task T3.**

/ 1+5+1+2 = 9 P

Given is a weighted directed acyclic graph  $G = (V, E, w)$ , where  $V = \{1, \dots, n\}$ . The goal is to find the length of the longest path in  $G$ .

Let's fix some topological ordering of  $G$  and consider the array  $\text{top}[1, \dots, n]$  such that  $\text{top}[i]$  is a vertex that is on the  $i$ -th position in the topological ordering.

Consider the following pseudocode

---

```

procedure FIND-LENGTH-OF-LONGEST-PATH( $G, \text{top}$ )
  for  $1 \leq i \leq n$  do
     $v \leftarrow \text{top}[i]$ 
     $L[v] \leftarrow \max_{(u,v) \in E} \{L[u] + w((u,v))\}$ 
  return  $\max_{1 \leq i \leq n} L[i]$ 

```

---

Here we assume that maximum over the empty set is 0.

Show that the pseudocode above satisfies the following loop invariant  $\text{INV}(k)$  for  $1 \leq k \leq n$ : After  $k$  iterations of the for-loop,  $L[\text{top}[j]]$  contains the length of the longest path that ends with  $\text{top}[j]$  for all  $1 \leq j \leq k$ .

Specifically, prove that:

- i) Show that  $\text{INV}(1)$  holds.
- ii) If  $\text{INV}(k)$  holds, then  $\text{INV}(k+1)$  holds (for all  $1 \leq m < n$ ).
- iii)  $\text{INV}(n)$  implies that the algorithm correctly computes the length of the longest path.

State the running time of the algorithm described above in  $\Theta$ -notation in terms of  $|V|$  and  $|E|$ . Justify your answer.

**Proof of i).**

In the first iteration we have  $v = \text{top}[1]$ . By the definition the first vertex in topological order has no incoming edges. Thus,  $L[\text{top}[1]]$  gets assigned the maximum over the empty set, which we assume to be 0. As a consequence,  $\text{INV}(1)$  holds as there is no longest path that ends at  $\text{top}[1]$  and  $L[\text{top}[1]] = 0$ .

**Proof of ii).**

In the  $(k+1)$ -th iteration we have  $v = \text{top}[k+1]$ . By the definition of topological ordering we have that all  $u \in V$  with  $(u, \text{top}[k+1]) \in E$  are in  $\{\text{top}[1], \dots, \text{top}[k]\}$ . The length of the longest path via  $u$  ending at  $v$  can be decomposed into the length of the longest path ending at  $u$  plus the weight of the edge  $(u, v)$ . Therefore, given  $\text{INV}(k)$ , i.e.,  $L[\text{top}[j]]$  contains the length of the longest path for all  $1 \leq j \leq k$ , the maximum  $\max_{(u,v) \in E} \{L[u] + w((u,v))\}$  computes the length of the longest path ending at  $v$ . Consequently,  $\text{INV}(k+1)$  holds given  $\text{INV}(k)$  holds.

**Proof of iii).**

$INV(n)$  implies that each entry  $L[v]$  contains the length of the longest path ending at  $v$ . Thus, computing the maximum  $\max_{1 \leq i \leq n} L[i]$  corresponds to computing the length of the longest path in  $G$ .

**Running time:**

The running time is in  $\Theta(|E| + |V|)$ .  $|E|$  for the for loop, i.e.,  $\sum_{v \in V} \deg_-(v) = |E|$ , and  $|V|$  for  $\max_{1 \leq i \leq n} L[i]$ .

**Theory Task T4.****/ 2 + 2 + 9 = 13 P**

Suppose that there are  $n$  airports in the country Examistan. Between some of them there are direct flights. For each airport there exists at least one direct flight from this airport to some other airport. Totally there are  $m$  different direct flights between the airports of Examistan.

For each direct flight you know its cost. The cost of each flight is a strictly positive integer.

You can assume that each airport is represented by its number, i.e. the set of airports is  $\{1, \dots, n\}$ .

**/ 2 P**

- a) Model these airports, direct flights and their costs as a directed graph: give a precise description of the vertices, the edges and the weights of the edges of the graph  $G = (V, E, w)$  involved (if possible, in words and not formal).

**Solution** Each airport is a vertex in the directed graph. Two vertices  $u, v \in V$  are connected by a directed edge  $e \in E$ , if there exists a direct flight starting from airport  $u$  to airport  $v$ . The weight  $w(e)$  of the edge  $e = (u, v)$ , is the cost of the direct flight from  $u$  to  $v$ .

Notice that the graph might not be connected, but  $|E| \geq |V|$ , since “For each airport there exists at least one direct flight from this airport to some other airport.”

In points b) and c) you can assume that the directed graph is represented by a data structure that allows you to traverse the direct predecessors and direct successors of a vertex  $u$  in time  $\mathcal{O}(\deg_-(u))$  and  $\mathcal{O}(\deg_+(u))$  respectively, where  $\deg_-(u)$  is the in-degree of vertex  $u$  and  $\deg_+(u)$  is the out-degree of vertex  $u$ .

/ 2 P

- b) Suppose that you are at the airport  $S$  and you want to fill the array  $d$  of minimal travelling costs to each airport. That is, for each airport  $A$ ,  $d[A]$  is a minimal cost that you must pay to travel from  $S$  to  $A$ .

Name the most efficient algorithm that was discussed in lectures which solves the corresponding graph problem. If several such algorithms were described in lectures (with the same running time), it is enough to name one of them. State the running time of this algorithm in  $\Theta$ -notation in terms of  $|V|$  and  $|E|$ .

**Solution** Name of the algorithm used to solve this problem: Dijkstra's Algorithm

Runtime:  $\mathcal{O}(|E| + |V| \log |V|)$  if implemented with Fibonacci heap,  $\mathcal{O}((|E| + |V|) \cdot \log |V|)$  if implemented with binary heap.

/ 9 P

- c) Now you want to know *how many* optimal routes there are to airport  $T$ . In other words, if  $c_{\min}$  is the minimal cost from  $S$  to  $T$  then you want to compute *the number of routes from  $S$  to  $T$  of cost  $c_{\min}$* .

Assume that the array  $d$  from b) is already filled. Provide an as efficient as possible *dynamic programming* algorithm that takes as input the graph  $G$  from task a), the array  $d$  from point b) and the airports  $S$  and  $T$ , and outputs the number of routes from  $S$  to  $T$  of minimal cost.

Address the following aspects in your solution and state the running time of your algorithm:

- 1) *Definition of the DP table:* What are the dimensions of the table  $DP[\dots]$ ? What is the meaning of each entry?
- 2) *Computation of an entry:* How can an entry be computed from the values of other entries? Specify the base cases, i.e., the entries that do not depend on others.
- 3) *Calculation order:* In which order can entries be computed so that values needed for each entry have been determined in previous steps?
- 4) *Extracting the solution:* How can the final solution be extracted once the table has been filled?

**Size of the DP table / Number of entries:** We use a 1-dimensional DP table consisting of  $n$  entries.

**Meaning of a table entry:**

$DP[i]$ : Is the number of optimal routes from  $S$  to the airport  $i$ .

**Computation of an entry (initialization and recursion):**  $DP[S] = 1$ . If  $d[v] = \infty$ ,  $DP[v] = 0$ . If  $v \neq S$  and  $d[v] < \infty$ , then

$$DP[v] = \sum_{\substack{u:(u,v) \in E \\ d[u] + w((u,v)) = d[v]}} DP[u].$$

**Order of computation:** The order of the array  $d$ . That is, if  $d[i] < d[j]$ , then  $i$  is before  $j$  in this order.

**Computing the result:** The result is contained in  $DP[T]$ .

**Running time in concise  $\Theta$ -notation in terms of  $n$  and  $m$ . Justify your answer.**

**Hint:** Note that the array  $d$  is a part of the input, so you don't need to include the time that is required to fill this array to the running time here.

We need  $\Theta(n \log n)$  time to sort the array  $d$ . To fill the DP table we need  $\Theta(n + m)$ , since the time required to compute  $DP[v]$  is  $\Theta(\deg_-(v) + 1)$ , and  $\sum_{v \in V} \Theta(\deg_-(v) + 1) = \Theta(n + m)$ . Hence the running time of the algorithm described above is  $\Theta(n \log n + m)$ .



*Extra space for notes. If you write any answers here that should be graded, please indicate clearly to which task your notes belong, and make a note in the main body of the exam.*

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