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Data Structures & Algorithm**Solutions to Sheet 1****AS 16****Solution 1.1** Examples.

- a) We have $5n \leq n^2$ for $n \geq 5$, and $10 \leq n^2$ for $n \geq 4$ (in particular for $n \geq 5$). We choose $n_0 = 5$ and $c = 5$ and get

$$3n^2 + 5n + 10 \leq 3n^2 + n^2 + n^2 = 5n^2 = cn^2 \quad \text{for all } n \geq n_0 = 5. \quad (1)$$

Consequently, $3n^2 + 5n + 10 \in \mathcal{O}(n^2)$.

- b) Suppose that $n^{1/2} \in \mathcal{O}(n^{1/3})$. Then there exist constants $c > 0$ and n_0 , so that $n^{1/2} \leq cn^{1/3}$ for all $n \geq n_0$. But then it would hold that $\frac{n^{1/2}}{n^{1/3}} = n^{1/2-1/3} = n^{1/6} \leq c$, which is not possible: The function $n^{1/6}$ converges for $n \rightarrow \infty$ to ∞ . Hence, there is no *constant* c that leads to an upper bound for $n^{1/6}$.
- c) We can choose $c = n_0 = 1$ and it holds that $2^n \leq 3^n = c \cdot 3^n$ for all $n \geq n_0 = 1$.
- d) The rule of L'Hôpital states that for two differentiable functions $f, g : \mathbb{R} \rightarrow \mathbb{R}$ with $f(x) \rightarrow \infty$ and $g(x) \rightarrow \infty$ for $x \rightarrow \infty$

$$\lim_{x \rightarrow \infty} \frac{f(x)}{g(x)} = \lim_{x \rightarrow \infty} \frac{f'(x)}{g'(x)} \quad (2)$$

applies if $\lim_{x \rightarrow \infty} \frac{f'(x)}{g'(x)}$ exists.

We set $f(x) = \log_{10}(x) = \ln(x)/\ln(10)$ and $g(x) = n^{0.1}$ and get

$$\frac{f'(x)}{g'(x)} = \frac{1/(x \ln(10))}{0.1x^{-0.9}} = \frac{10x^{0.9}}{x \ln(10)} = \frac{10}{\ln(10)x^{0.1}} \xrightarrow{x \rightarrow \infty} 0. \quad (3)$$

Consequently, according to the rule of L'Hôpital, $\lim_{n \rightarrow \infty} \frac{\log_{10}(n)}{n^{0.1}} = \lim_{n \rightarrow \infty} \frac{1/(x \ln(10))}{0.1x^{-0.9}} = 0$. By the definition of the limit there exists for every $\varepsilon > 0$ an n_0 , so that $\log_{10}(n) \leq \varepsilon n^{0.1}$ for all $n \geq n_0$. Hence, we can choose *any* c (e.g. $c = 1$) and from the definition of the limit follows that there is a n_0 such that $\log_{10}(n) \leq n^{0.1}$ for all $n \geq n_0$. Therefore it also holds that $\log_{10}(n) \in \mathcal{O}(n^{0.1})$.

Note: One could criticize that the above argument is not constructive. But we can observe, that $\log_{10}(10^{10}) = (10^{10})^{0.1} = 10$, and for $n > 10^{10}$ it holds that $\log_{10}(n) < n^{0.1}$. So a concrete choice for the constants is $c = 1$ and $n_0 = 10^{10}$.

Solution 1.2 *Simplifying Expressions in \mathcal{O} Notation.*

- a) $\mathcal{O}(2n + 14n^2) = \mathcal{O}(n^2)$
- b) $\mathcal{O}(\log n + 3\sqrt{n}) = \mathcal{O}(\sqrt{n})$
- c) $\mathcal{O}(16 \log_7(n^2)) = \mathcal{O}(\log(n^2)) = \mathcal{O}(2 \log n) = \mathcal{O}(\log n)$
- d) $\mathcal{O}(6^{10} + \log^5(n) + \frac{n}{10}) = \mathcal{O}(n)$

Solution 1.3 *Proofs about \mathcal{O} Notation.*

- a) The statement is true. It follows directly from the definitions of $\mathcal{O}(g)$ and $\Omega(f)$, as it is

$$\begin{aligned} f \in \mathcal{O}(g) &\Leftrightarrow \exists c_1 \in \mathbb{R}^+, n_0 \in \mathbb{N} \forall n \geq n_0 : f(n) \leq c_1 g(n) \\ &\Leftrightarrow \exists c_2 \in \mathbb{R}^+, n_0 \in \mathbb{N} \forall n \geq n_0 : g(n) \geq c_2 f(n) \Leftrightarrow g \in \Omega(f). \end{aligned} \quad (4)$$

We can choose $c_2 = c_1^{-1}$ as constant in the second line.

- b) This is a false statement. Choose, for example, $f(n) = 2n$ and $g(n) = n^2$. Then, $f \in \mathcal{O}(g)$, but $f(1) > g(1)$.
- c) Such a function exists, e.g.

$$f(n) = \begin{cases} 2^n & \text{if } n \text{ is even} \\ \sqrt{n}, & \text{if } n \text{ is odd} \end{cases}$$

We note that $f(n) \notin \mathcal{O}(n)$. Therefore $\frac{2^n}{n} \xrightarrow{n \rightarrow \infty} \infty$ and there exists no constant that leads to an upper bound. On the other hand, it also holds that $f(n) \notin \Omega(n)$, because $\frac{\sqrt{n}}{n} \xrightarrow{n \rightarrow \infty} 0$ and there exists no positive constant that leads to a lower bound.

- d) The statement is true. For all $a, b \in \mathbb{N}$ it holds that $\log_a(n) = \frac{\log_b(n)}{\log_b(a)}$. We set $n_0 = 1$ and $c = (\log_b(a))^{-1}$. Then it holds for all $n \geq n_0$ that

$$\log_a(n) \leq c \log_b(n) \text{ and } \log_a(n) \geq c \log_b(n). \quad (5)$$

Therefore, $\log_a(n) \in \mathcal{O}(\log_b(n))$ and $\log_a(n) \in \Omega(\log_b(n))$ and thus $\log_a(n) \in \Theta(\log_b(n))$.

- e) The statement is true. By definition

$$f_1 \in \mathcal{O}(g) \Leftrightarrow \exists c_1 \in \mathbb{R}^+, n_1 : \forall n \geq n_1 : f_1(n) \leq c_1 g(n), \quad (6)$$

$$f_2 \in \mathcal{O}(g) \Leftrightarrow \exists c_2 \in \mathbb{R}^+, n_2 : \forall n \geq n_2 : f_2(n) \leq c_2 g(n). \quad (7)$$

With $c := c_1 + c_2$ and $n_0 := \max\{n_1, n_2\}$ it holds for all $n \geq n_0$ that

$$f(n) = f_1(n) + f_2(n) \leq c_1 g(n) + c_2 g(n) = c g(n). \quad (8)$$

Therefore, $f \in \mathcal{O}(g)$.

- f) The statement is false. One can choose $f_1(n) = f_2(n) = n$ and $g(n) = n$. Then $f_1, f_2 \in \mathcal{O}(g)$, but $f(n) = f_1(n) \cdot f_2(n) = n^2 \notin \mathcal{O}(n)$ (there exist no constants $c > 0$ and n_0 , such that $n^2 \leq cn$ applies for all $n \geq n_0$).

Solution 1.4 *Asymptotic Growth of Functions.*

We note that $\log(2^n) = n$ and $\sqrt{n} = n^{0.5}$. Moreover, $n \log^3(n) \in \mathcal{O}(\frac{n^2}{\log(n)})$, because $\log^4(n) \in \mathcal{O}(n)$. The only (!) correct order is

$$8^{11}, \log^5(n), \sqrt{n}, \log(2^n), n \log^3(n), \frac{n^2}{\log(n)}, n^3 + 7n, 2^n, n^n$$